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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/810,107	
	Filing Date	March 26, 2004	
	First Named Inventor	Renato Staub	
	Art Unit	3627	
	Examiner Name	to be assigned	
Total Number of Pages in This Submission	5	Attorney Docket Number	5333-3

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Todd Holmbo, Reg. No. 42,665	
Signature		
Date	06/08/2005	

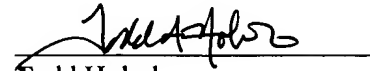
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Typed or printed name	Debbie Jenkins	
Signature		Date
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The Commissioner is hereby authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4270.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Todd Holmbo", is written over a horizontal line.

Todd Holmbo

Reg. No. 42,665

Attorney for Applicants

BROWN RAYSMAN MILLSTEIN

FELDER & STEINER LLP

163 Madison Avenue

P.O. Box 1989

Morristown, New Jersey 07962-1989

Telephone No. 973.775.8930

Facsimile No. 973.775.8901

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/810,107
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Filing Date	03/26/2004
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First Named Inventor	Renato Staub
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Art Unit	3627
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Examiner Name

Attorney Docket Number	5333-3
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ²
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	3.	WO 01/31538 A1	03/05/2001	Hoffman et al		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/810,107
Filing Date	03/26/2004
First Named Inventor	Renato Staub
Art Unit	3627
Examiner Name	
Attorney Docket Number	5333-3

Sheet

2

of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	4	United States Published Application No. 2003/0088492, entitled "Method and apparatus for creating and managing a visual representation of a portfolio and determining an efficient allocation	
	5	United States Published Application No. 2002/0138381, entitled "Individually managed accounts with multiple style allocations options	
	6	United States Published Application No. 2002/0138386, entitled "User interface for a financial advisory system	
	7	United States Published Application No. 2002/0174045, entitled "System, method, and computer program product for cost effective, dynamic allocation of assets among a plurality of investments	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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